## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS:** 

Jerome H. Lemelson

**ART UNIT:** 

Robert D. Pedersen

**EXAMINER:** 

Tracy D. Blake

**SERIAL NO.:** 

FILED:

March 3, 2000

TITLE:

System and Method for Enhancing Speech Intelligibility for the

Hearing Impaired

Assistant Commissioner for Patents BOX PATENT APPLICATION Washington, D.C. 20231

Certification Under 37 C.F.R. § 1.10

I hereby certify that this paper is being deposited this day of MARCH 2000 in an envelope as "Express Mail Post Office to Addressee"

envelope as "Express Mail Post Office to Addressee," label number 5131055073105 addressed to "Commissioner of Patents and Trademarks. Box Patents

Application, Washington, D.C. 20231." By:

**DECLARATION** 

Dear Sir:

As the below-named inventors, we hereby declare that:

1. TYPE OF DECLARATION

This declaration is for a new regular application.

2. <u>INVENTORSHIP IDENTIFICATION</u>

Our name, residence, post office address, and citizenship are as stated below:

Name:

Jerome H. Lemelson

Citizen of:

**United States** 

Resident of:

Incline Village, Nevada

Post Office Address:

PMB #284

930 Tahoe Boulevard, #802 Incline Village, Nevada 89451

Name:

Robert D. Pedersen

Citizen of:

**United States** 

Resident of:

Dallas, Texas

Post Office Address:

7808 Gleneagle Drive

Dallas, Texas 75248

Name:

Citizen of:

Resident of:

Post Office Address:

Tracy D. Blake

**United States** 

Scottsdale, Arizona

14641 North 49th Place

Scottsdale, Arizona 85254

We believe we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: "System and Method for Enhancing Speech Intelligibility for the Hearing Impaired."

#### 3. <u>ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR</u>

We hereby state that we have reviewed and understand the contents of the aboveidentified specification, including the claims and any preliminary amendment filed concurrently.

We acknowledge the duty to disclose information that is material to the examination of this application in accordance with 37 C.F.R. § 1.56(a).

#### 4. **DECLARATION**

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: March \_\_\_\_\_, 2000

(deceased-completed on added page) Jerome H. Lemelson

Dated: March \_\_\_\_\_, 2000

Dated: March \_\_\_\_\_, 2000

# I, Dorothy Lemelson, hereby declare that:

My name, residence, post office address and citizenship are as stated below:

Name:

Dorothy Lemelson

Citizen:

**United States** 

Resident of:

Incline Village, Nevada

Post Office Address:

Unit 802, Suite 286

930 Tahoe Boulevard

Incline Village, Nevada 89451

I am executing and signing the declaration to which this is attached as executrix of the last will and testament of Jerome H. Lemelson, whose name, residence, post office address, and citizenship are as stated on the first page of this document.

That upon information and belief, I aver those facts that the inventor is required to state.

Dated: March \_\_\_\_\_, 2000

Dorothy Lemelson

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

SERIAL NO.:

Jerome H. Lemelson

ART UNIT:

Robert D. Pedersen

Tracy D. Blake

**EXAMINER:** 

FILED:

March 3, 2000

TITLE:

System and Method for Enhancing Speech Intelligibility for the Hearing

Impaired

**Assistant Commissioner for Patents BOX PATENT APPLICATION** Washington, D.C. 20231

# **EXECUTRIX'S PROOF OF AUTHORITY**

Dear Sir:

The enclosed Certificate of Ownership and Assignee's Power of Attorney and the enclosed Declaration has been signed by the executrix of the estate of Jerome H. Lemelson. Attached as Exhibit A is the Letters Testamentary showing that Dorothy Lemelson was duly appointed as executrix of said estate. The attached should serve as the proof of authority required by 37 CFR 1.44.

If there are any questions, please feel free to telephone applicants' undersigned attorney.

Respectfully submitted,

JEROME H. LEMELSON ROBERT D. PEDERSEN

TRACY D. BLAKE

By their attorney

Dated: March 3, 2000

Certification Under 37 C.F.R. § 1.10

this 3 day of MARCH 3000 in an

envelope as "Express Mail Post Office to Addressee."

label number 5310550731US addressed to

Commissioner of Patents and Trademarks. But Page Application, Washington, D.C. 20231." By:

Douglas W. Rudy Reg. No. 26,989

DOUGLAS W. RUDY

14614 North Kierland Boulevard

Suite 300

Scottsdale, Arizona 85254

(480) 948-3295

### <u>Assignment</u>

- Intellectual Property Rights" means all inventions, discoveries.

  improvements, modifications, specifications, models, algorithms, source codes.

  object codes, documentation, trade secrets, secret processes, technology,
  know-how, patents, patent applications, service marks, trademarks, trademark
  applications, copyrights, copyright registrations, works of authorship or other
  creative works, ideas, knowledge or data, however expressed, which Jerome H.
  Lemeison, in whole or in part, individually or jointly with others, conceived,
  created, developed, made first reduced to practice, or acquired during his life.

  to the extent not already transferred to the Partnership during his life.
- 2. Pursuant to the Court Order dated May 13, 1999, the Estate of Jerome Lemelson hereby assigns and transfers to the Lemelson Medical, Education & Research Foundation, Limited Partnership, a Nevada limited partnership, together with any successor, assign, legal representative, or designated nominee ("the Partnership"), the entire right, title, and interest of the Estate of Jerome Lemelson in and to all Intellectual Property Rights.

Signed at Incline Village, Nevada this 14 day of June 1999, by the undersigned.

THE ESTATE OF JEROME LEMELSON

/:\_\_\_\_\_

Dorothy Lemekor

Title: Executrix of the Estate of Jerome Lemelson

### ASSIGNMENT

Pursuant to Court Order dated May 13, 1999, the Estate of Jerome

Lemelson hereby assigns and transfers to the Lemelson Medical, Education & Research Foundation, Limited Partnership, a Nevada limited partnership, together with any successor, assign, legal representative, or designated nominee, the Jerome Lemelson Estate's entire right, title, and interest in and to (1) any U.S. Patent or patent application filed or to be filed in the U.S. Patent Office to which Mr. Lemelson is or will be listed as an inventor or co-inventor, including all continuations, divisions, continuations-in-part, reissues, reexamination certificates, foreign counterparts, or international applications based in whole or in part on any such patents or applications, (2) the right to make any subsequent applications in Mr. Lemelson's name based on any invention made by Mr. Lemelson before his death, and (3) all of Mr. Lemelson's or the Estate's rights to sue for infringement (including the right to recover damages and obtain any and all other relief) of any patents that issue from any Lemelson patent application.

Signed at Incline Village, this //day of May, 1999, by the undersigned.

THE ESTATE OF JEROME LEMELSON

By:

Dorothy Lemelson, Executrix of the

Estate of Jerome Lemelson

# <u>OATH</u>

	<del> </del>
2	PIALE OF REVADA
3	) ss. COUNTY OF WASHOE )
4	I, DOROTHY LEMELSON, do solemnly swear that I will support,
5	protect and defend the Constitution and government of the United
6	States, and the Constitution and government of the State of Nevada,
7	against all enemies, whether domestic or foreign, and that I will
8	
9	bear true faith, allegiance and loyalty to the same, any ordinance,
10	resolution or law of any state notwithstanding, and that I will
11	well and faithfully perform all the duties of the office of
12	executor on which I am about to enter; so help me God.
13	DATED this 39 day of Ocyclic , 1997.
14	Lorothy Limelson
15	DOROTHY LEMELSON
16	Subscribed and sworn to before
17	me this $\frac{29}{\text{day of } CeVal_eV}$ , 1997.
18	
19	(C) DOLLEY
20	NOTARY PUBLIC CLERK OF THE COURT
21	
22	ANN MORGÁN Notary Public-State of Novada Appointment Recorded in Washoe Country
23	MY APPOINTMENT EXPIRES 1-22-48  DEPUTY CLERK
24	

1	Case No. CV97-06767
2	11 *
3	97 OCT 29 A9 109
4	
5	BIJ. Berchem DEPUTY
6	IN THE SECOND TIDICIAL DISCRIPTOR COURT OF THE COLUMN OF THE
7	IN THE SECOND JUDICIAL DISTRICT COURT OF THE STATE OF NEVADA
8	IN AND FOR THE COUNTY OF WASHOE
9	IN THE MATTER OF THE ESTATE
10	OF LETTERS TESTAMENTARY
11	JEROME H. LEMELSON,
12	
13	Deceased.
14	STATE OF NEVADA )
15	)ss. COUNTY OF WASHOE )
16	The Last Will and Testament of JEROME LEMELSON, deceased,
17	having been duly admitted to summary probate in said Court, DOROTHY
18	
19	LEMELSON, who is named therein, was, by said Court, on the 29th day
20	of October, 1997, duly appointed Executrix, and, having qualified
21	as such, is hereby authorized to act by virtue thereof.
22	In testimony whereof, I have officially signed these letters and affixed hereto
23	the Seal of said Court, this 29 day of (1902), 1997.
24	<del>\( \tau \( \tau \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\</del>
25	By order of the Court
26	JUDI BAILEY CLERK OF THE COURT
27	By J. Berchem
28	DEPUTY CLERK
	/

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Jerome H. Lemelson

ART UNIT:

Robert D. Pedersen

Tracy D. Blake

**SERIAL NO.:** 

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TITLE:

System and Method for Enhancing Speech Intelligibility for the

Hearing Impaired

Certification Under 37 C.F.R. § 1.10

Assistant Commissioner for Patents BOX PATENT APPLICATION Washington, D.C. 20231 this day of MARCH . 2000 in an envelope as "Express Mail Post Office to Addressee," label number £1210550731U5 addressed to

ASST "Commissioner of Patents and Trademarks, Boy Patent

Application. Washington, D.C. 20231." By:

CERTIFICATE OF OWNERSHIP AND ASSIGNEE'S POWER OF ATTORNEY

Dear Sir:

The limited partnership that is the assignee of all rights under this patent application hereby assumes prosecution of this application pursuant to 37 C.F.R. §§ 3.71 and 3.73 and MPEP § 324.

The Lemelson Medical, Education & Research Foundation Limited Partnership, a Nevada limited partnership ("Foundation Partnership") certifies that it is the assignee of the entire right, title, and interest in this patent application, identified in the caption, by virtue of a assignments from the inventors.

Attached as Exhibit A is a true and correct copy of a one-page assignment dated June 14, 1999, which assigns from the Estate of Jerome Lemelson to the Foundation Partnership any U.S. Patent or patent application filed or to be filed in the U.S. Patent Office to which Mr. Lemelson is or will be listed as an inventor or co-inventor. Attached, as Exhibit B, is a true and correct copy of a one-page assignment dated June 14, 1999, which

assigns from the Estate of Jerome Lemelson to the Foundation Partnership the entire right, title, and interest of the of the Estate of Jerome Lemelson in and to all Intellectual Property Rights.

Although the assignments above are not recorded in the Patent Office, MPEP 324 permits an assignee to establish ownership "by submitting to the Office copies of the documentary evidence of a chain of title from the original inventor to the assignee."

The undersigned has reviewed all documents in the chain of title of this patent application, including the assignments, and, to the best of the undersigned's knowledge and belief, title is in the Foundation Partnership.

The undersigned states that she is an officer of the Lemelson Educational & Research Corporation, a Nevada Corporation and is empowered to act on behalf of the Lemelson Educational & Research Corporation. The Lemelson Educational & Research Corporation is the general partner of the Foundation Partnership and is empowered to act on behalf of the Foundation Partnership.

Assignee hereby appoints Louis J. Hoffman, Reg. No. 38,918; Steven G. Lisa, Reg. No. 30,771; Peter C. Warner, Reg. No. 36,994; Douglas W. Rudy, Reg. No. 26,989; and Edwin A. Suominen, Reg. No. 43,174; to prosecute this application and to transact all business in the Patent and Trademark Office connected with this application.

Send correspondence to:

Direct telephone calls to:

DOUGLAS W. RUDY 14614 North Kierland Boulevard Suite 300 Scottsdale, Arizona 85254 Douglas W. Rudy (480) 948-3295





I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: March 3, 2000

Lemelson Medical, Education & Research Foundation, Limited Partnership

> by Lemelson Educational & Research Corporation, General Partner

by Dorothy Lemelson, President